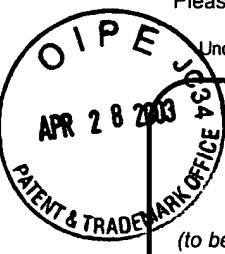


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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/966,269

Filing Date

October 1, 2001

First Named Inventor

Takashi SASAKI

Group Art Unit

1711

Examiner Name

Susan Berman

Total Number of Pages in This Submission

5

Attorney Docket Number

001458.00014

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GROUP 17B

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Response to Restriction Requirement</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas L. Peterson, Registration No. 30,969
Signature	
Date	April 28, 2003

## CERTIFICATE OF MAILING

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* Application of ) Group Art Unit: 1711  
Takashi SASAKI et al. ) Examiner: Susan Berman  
Serial No. 09/966,269 ) Atty. Docket No. 001458.00014  
Filed: October 1, 2001 )  
For: PROCESS FOR PRODUCING )  
POLYMERIC FILMS HAVING SHAPE- )  
MEMORIZING PROPERTIES )

**RESPONSE TO RESTRICTION REQUIREMENT**

Assistant Director of Patents  
Washington, D.C. 20231

Sir:

In response to the restriction requirement mailed March 26, 2003, applicants elect Group II, claims 1-16, with traverse.

**REMARKS**

Applicant has elected with traverse Group II, claims 1-16. It is submitted, however, that both the Group I claims and the Group II claims are directed to the same invention and that applicant is entitled to both claim sets in this application.

Applicant's position is based on the fact that both claim sets relate to the same subject matter that would be classified in the same areas with respect to the examination of this application. Accordingly, it is not believed to be a burden on the examiner in examining both claim sets together. For these reasons, the elections of Group II claims are traverse.